VinylPlus® Webinar



Additive Sustainability Footprint Methodology

9 DECEMBER 9:30 – 12:30

Building towards sustainable PVC

STARTING SOON

viny

Opening

Vincent Stone

Technical & Environmental Affairs Senior Manager, VinylPlus[®] Additive Sustainability Footprint Methodology

Housekeeping

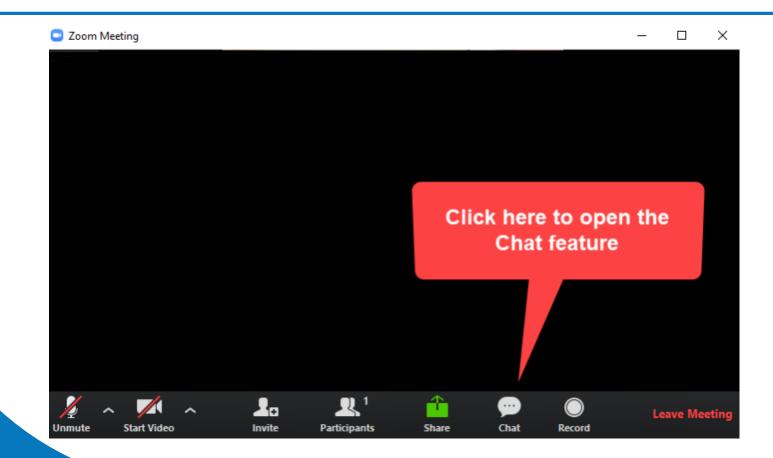
Today we are expecting almost 190 participants

- For practical reasons, all participants will be muted and cameras will be switched off
- Any question and/or comment: ONLY USE THE CHAT BOX
- 9 2/3 questions will be addressed after each presentation (if time allows)
- A Q&A session will be held at the end of the webinar (if time allows)
 Webinar is recorded



Where to find the Chat Box

VÍNY



Setting the Scene

The EU Green Deal: 'To make Europe climate neutral in 2050'

Key Elements for PVC

Circular Economy Action Plan (CEAP)

Recycling rates, market for secondary raw materials, mandatory recycled content, waste reduction measures (e.g. packaging, construction, vehicles)

Revision of Construction Products Regulation

Harmonised standards and technical specifications to align with the CEAP



Renovation Wave

To double the annual renovation rate of buildings in the EU including energy efficiency

Chemical Strategy for Sustainability

Long list of measures potentially impacting industry (e.g. new rules for classification, opening REACH, more preventing measures enabling bans of substances)

"Fit for 55" Package

55% reduction in GHG emissions by 2030. Focus on energy performance of buildings, renewable energy, ETS (carbon market).

6

Challenges and Opportunities for the PVC Industry

OPPORTUNITIES

- Strong policy focus on long lifespan and recyclability of materials (Renovation wave)
- Demand for circular construction materials
- EU green public procurement rules, circular standards (VinylPlus[®] Product Label)
- High ambition for energy efficient buildings

CHALLENGES

- Sustainability requirements at <u>design</u> phase for chemicals and articles; recycled content
- Legacy substances in material cycles (elimination of recyclates with hazardous additives)
- Increased regulatory pressure and compliance costs in Europe (impact on competitiveness)
- Chemical recycling of plastics (avoid PVC discrimination)

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VinylPlus[®] and the European Legislative Landscape



Home > Strategy > Priorities 2019-2024 > A European Green Deal

A European Green Deal

Striving to be the first climate-neutral continent





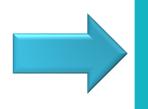
Advancing towards Carbon Neutrality and Minimising our Environmental Footprint



ACTION AREAS AND TARGETS

2.1. ADVANCING TOWARDS CARBON NEUTRALITY

- 1. VinylPlus will evaluate the potential and, by 2025, report on projected core carbon reduction progress to be achieved by 2030.
- 2. By 2025, report on the use of renewable energy.
- 3. By 2025, report on sustainable feedstock sourcing.



2.2. EMBRACING THE SUSTAINABLE USE OF CHEMICAL SUBSTANCES

- 1. By 2021, organisation of at least one introductory ASF³ webinar by VinylPlus.
- 2. By 2022, produce a report on the sectors'/partners' experience and application of the ASF tool.

Today's Programme

Programme



09:30 - 09:40	Opening Vincent Stone - Moderator , Technical and Environmental Affairs Senior Manager, VinylPlus®
09:40 - 09:55	Welcome and Introduction Ettore Nanni, Chairman, VinylPlus® Additives Committee
09:55 - 10:25	What does sustainable development mean for the PVC sector, and why does it matter Mark Everard, Director, Pundamilia
10:25 - 11:00	The basics of the ASF methodology: derivation from sustainability principles, operation and applied examples Richard Blume, Senior Sustainability Advisor, The Natural Step
11:00 - 11:30	Benefits of using the ASF methodology for the whole PVC value chain Richard Blume, Senior Sustainability Advisor, The Natural Step
11:30 - 11:45	ASF Digital Tools Richard Blume, Senior Sustainability Advisor, The Natural Step
11:45 - 12:00	ASF process for companies Richard Blume, Senior Sustainability Advisor, The Natural Step
12:00 - 12:30	Open discussion and Wrap-up Ettore Nanni and Vincent Stone , VinylPlus®
At 12:30	THE END

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Introduction

Ettore Nanni

Chairman, Sustainable Use of Additives Committee, VinylPlus[®] Additive Sustainability Footprint Methodology

What are PVC Additives

PVC additives usually represent a minor part of a PVC article in weight, but they are fundamental to grant the desired performances to it, such as mechanical, thermal and outdoor durability (in B&C the service life of PVC articles is of several decades), as the possibility to be recycled many, many times after its first service life.

PVC additives are obviously produced with the only scope to serve the above characteristics, hence their assessment must be conducted according to their specified USE, in view of bettering their sustainability performances into the analyzed PVC articles, during all the steps of their service life (raw material sourcing, transportation, manufacturing, use and then end of life analysis), seen according to the four The Natural Step (TNS) Sustainability Principles.



Why the ASF Assessment

- The Additive Sustainability Footprint (ASF) is a science-based methodology, capable to assess the above targets: based on the Sustainability Life Cycle Assessment (SLCA) approach developed by TNS, it has been peer-reviewed by University experts and published in the scientific peer-reviewed literature.
- The ASF methodology will allow to benchmark different additives potentially useable for the same application, and different articles (materials) devoted to the same scope – hence helping additives manufacturers in identifying the R&D best direction; and converters, to select long-term stable and sustainable solutions.



Our ultimate goal is to develop and use PVC Additives which are:

- sustainably produced, using materials that are responsibly sourced
- supporting the sustainable development of PVC articles (e.g. useful, safe and recyclable)
- enabling vinyl products to support multiple sustainable development goals (e.g. meeting the UN SDGs)



REPORTING ON 2020 ACTIVITIES

and summarising the key achievements of the past 10 years

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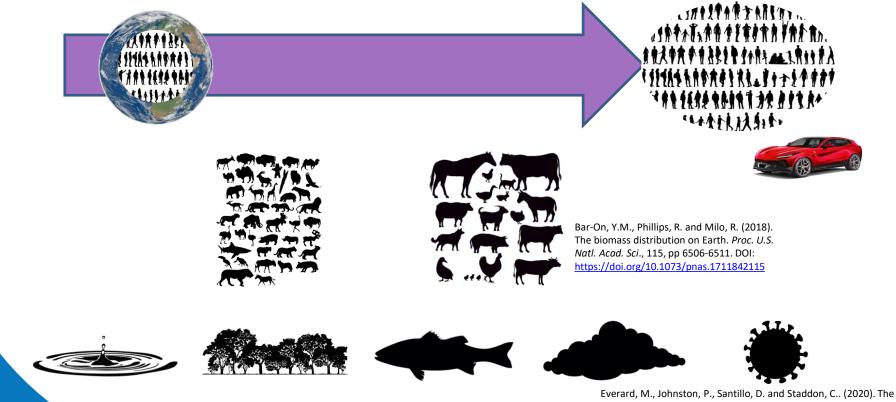
What does sustainable development mean for the PVC sector, and why does it matter?

Dr Mark Everard

Online, 9 December 2021

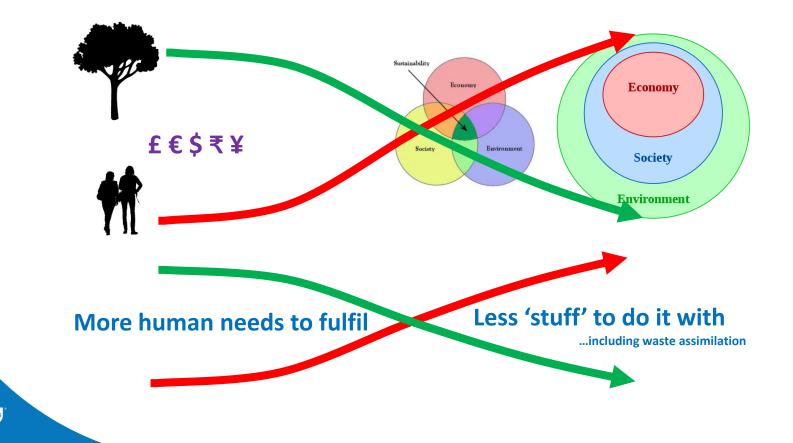
Additive Sustainability Footprint Methodology

Why sustainable development?



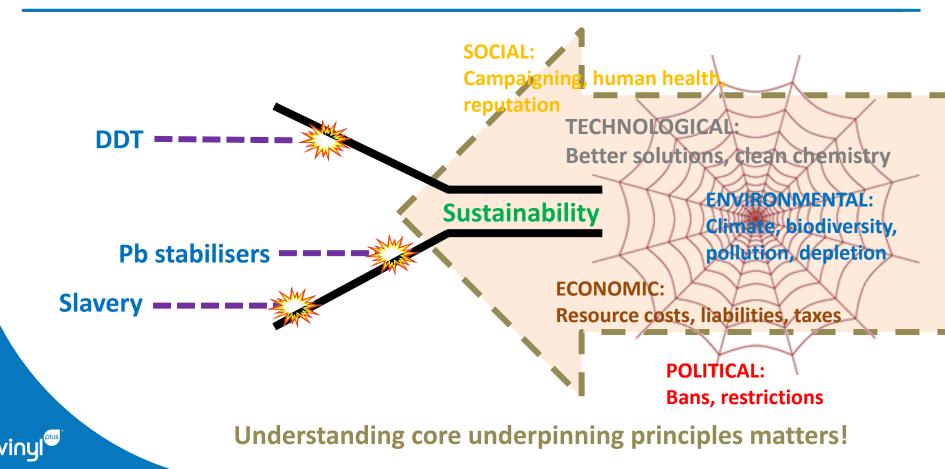
Everard, M., Johnston, P., Santillo, D. and Staddon, C.. (2020). The role of ecosystems in mitigation and management of Covid-19 and other zoonoses. *Environmental Science and Policy*, 111, pp.7-17. DOI: <u>https://doi.org/10.1016/j.envsci.2020.05.017</u>.

Why sustainable development?



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What does it look like in practice?



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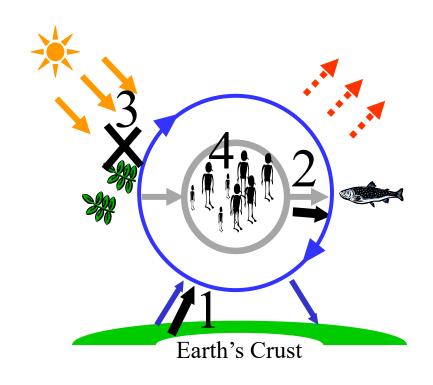


- Is the PVC industry currently sustainable?
- If not, is it moving in the direction of increased sustainability?
- What steps must it take to become sustainable?





Science model of The Natural Step





The four TNS System Conditions

In the sustainable society, nature is not subject to systematically increasing...

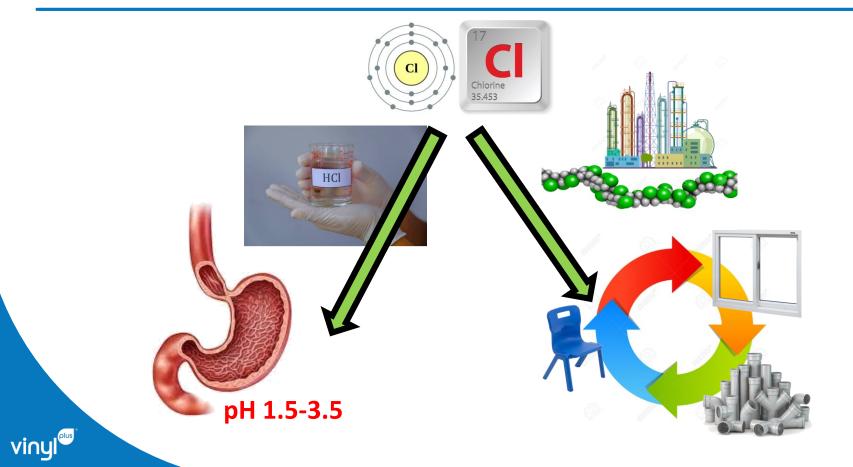
- 1... concentrations of substances extracted from the Earth's crust
- 2....concentrations of substances produced by society
- 3... degradation by physical means

and, in that society

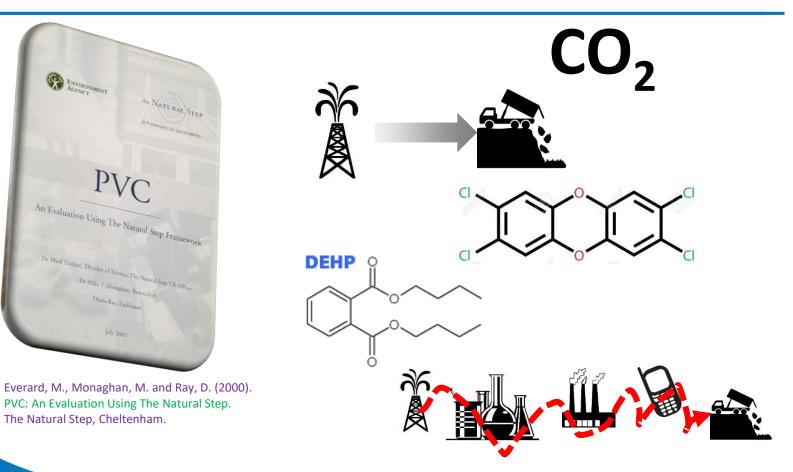
4... people are not subject to conditions that systemically undermine their capacity to meet their needs

Sustainable <u>use</u>...

...risk, not hazard



TNS assessment: 2000



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The five TNS sustainability challenges for PVC

1. The industry should commit itself long term to becoming carbon-neutral

2. The industry should commit itself long term to a controlled-loop system of PVC waste

3. The industry should commit itself long term to ensuring that releases of persistent organic compounds from the whole life cycle do not result in systemic increases in concentration in nature

4. The industry should review the use of all additives consistent with attaining full sustainability, and especially commit to phasing out long term substances that can accumulate in nature or where there is reasonable doubt regarding toxic effects

5. The industry should commit to the raising of awareness about sustainable development across the industry, and the inclusion of all participants in its achievement

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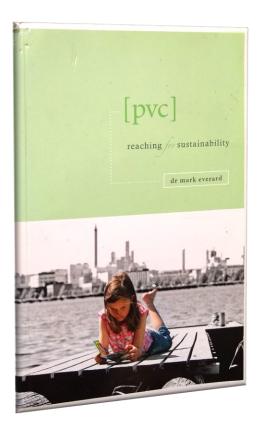
Europe-wide voluntary commitment #01







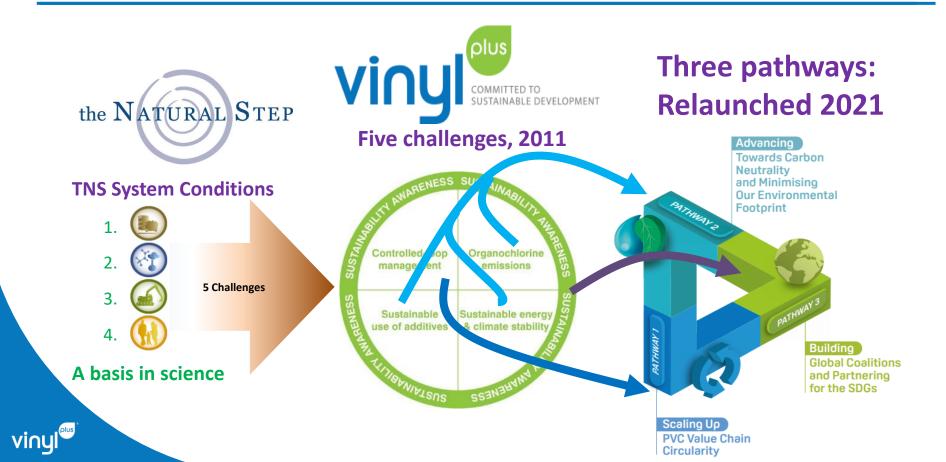
The story to date... more or less a decade ago



Everard, M. (2008). PVC: Reaching for Sustainability. IOM3 and The Natural Step.

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Europe-wide voluntary commitment #02



The UN Sustainable Development Goals (SDGs)



UNDP: "Meeting citizens' aspirations for peace, prosperity, and

wellbeing, and to preserve our planet"



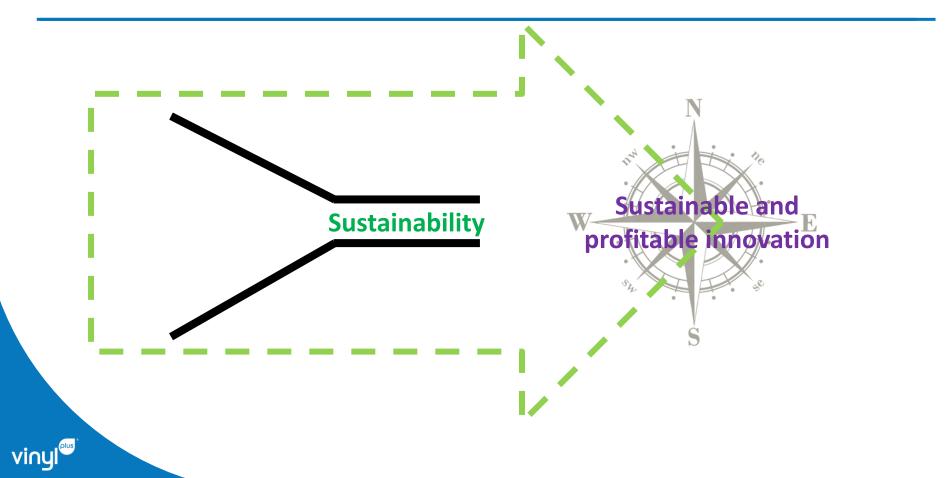
VinylPlus Progress Report 2021



Everard, M. (2017).

Repurposing business around the meeting of human needs. *Environmental Scientist*, September 2017, pp.40-45.

A compass for sustainable and profitable innovation



A level playing field: for materials





Twenty years of the PVC challenges



Development of M. Evennel, s-mail: mark evennel/Purss ac.nk

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Recognizing that proactive exploration of sustainable and perceptions of PVC, the Chairman of the Group approached usuainable development NGO The Natural Step (TNS), TNS was consequently commissioned to undertake a sustainable development aversenent based on its science-based principles of sustainability (known as the its science-based principles of sustainaning (known as the framework for strategic sustainable development or FSD) and associated nods. The brief was to avess the current performance and trajectory of PVC manufacture and use by society, and the steps that would be necessary were it possible for the material to be envisaged as part of a same tainable future; in other words, a gap analysis of what it umate huter; in other words, a gap analysis of what is would take to make PWC ruly sustainable. Key among the TNS tool set is a science-based definition of necessary conditions for szetziméelity (the four TNS -System Conditions"), assessment of current sustainability performance hared on System Conditions, visioning of the goal of full sustainability also haved on compliance with System Condrives, and a backcasting approach to identify strategic steps towards the vision of a turly sustainable end point. 2000, TNS published a sustainability assessment of PAC 121, developed denugh a concensus-building process facili-

121, developed through a consensus-building process (arti-tated by TNS and supported by the Environment Agency in England and Wales. Summarizing the outcomes of this TNS-based and yois in tractable terms was a series of five TNS sustainability challenges for PVC (see Table 1).

Everard, M. (2020). Twenty years of the Polyvinyl Chloride (PVC) sustainability challenges. Journal of Vinyl and Additive Technology. 26(3), pp.390-402. DOI: https://doi.org/10.1002/vnl.21754.



A level playing field: internationally







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VinylPlus certifications



VinylPlus certifications



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"Backcasting" from sustainable PVC products

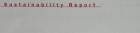
Richard Blume

🔘 The Natural Step

Additive Sustainability Footprint Methodology

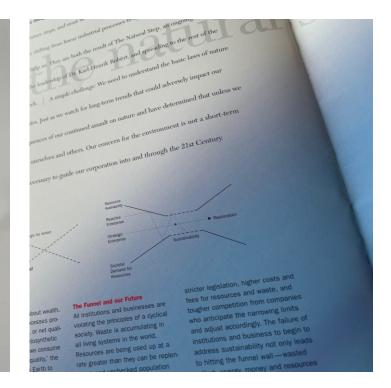
The foundations of ASF





our company, perhaps a first for the world. Although there are many corporate environmental reports, as far as we know this is the first corporate Sustainability Report. There are no federal agencies regulating sustainability, no charts or graphs to tell you or us whether or not we're succeeding. We had to create this ourselves. And it wasn't easy. Sustainability is complex. It involves the thousands of ways our company connects to society and the natural world. More than anything else, this report describes our road map to sustainability-as we see it. If it helps you, use it. If you can show us a better way, please do. We're all in this together.

This publication is a first for



Definitions matter

- Sustainable society?
- "Sustainable" value chain?
- Sustainable company?
- Sustainable" product?
- Sustainable material?
- Sustainable chemical?

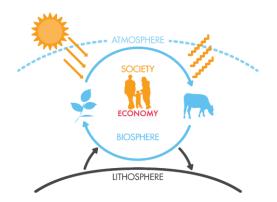


Overview

- A science-based definition of sustainability (System Conditions)
- A process to 'backcast' from success (ABCD)
- A tool to assess life cycles of 'products' (SLCA)
- A customised / standardised application of the tool specifically for additives (ASF)
 - A definition of sustainable use of additives
 - A protocol for setting rules for consistent use across the industry (Generic ASF)
 - A programme to certify how ASF is applied within companies (Company ASF)



Science and systems thinking



IN A SUSTAINABLE SOCIETY ...

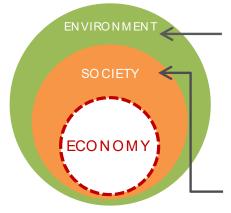


To create a truly sustainable society, some basic conditions need to be met. These science-based 'system conditions' provide a design frame for organisations that want to envision their place in a sustainable future.

System conditions for a sustainable society

🔘 The Natural Step





...nature is not subject to systematically increasing...

... concentrations of substances from the earth's crust;

... concentrations of substances produced by society;

... degradation by physical means;

...and in that society...



...there are no structural barriers to peoples'...

...health ... competence ... impartiality ...influence ... meaning-making

Backcasting from success



Backcasting for companies / products

Responsible sourcing

Avoid externalizing breaches of the System Conditions in the supply chain and work to create inclusive and transparent business practices that improve living standards.

Sustainable operations

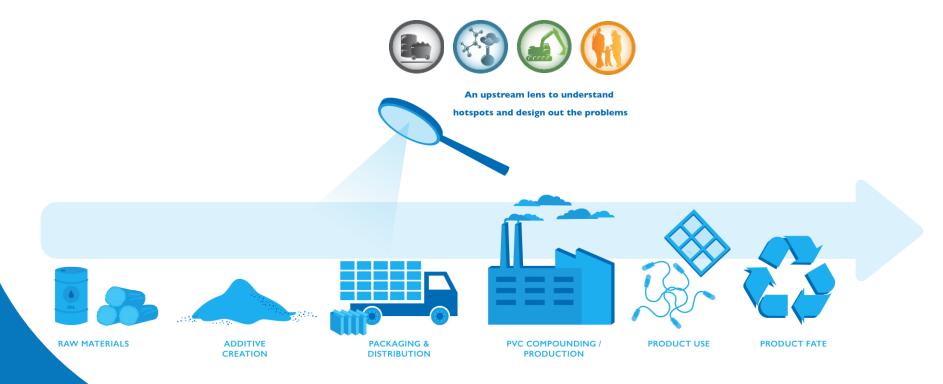
Align with the System Conditions and create restorative effects through own operational activities

Serving societal needs

Avoid forcing breaches of the System Conditions to occur through the sale of goods and services, help others to align with the System Conditions and provide beneficial products that meet society's needs.



Strategic Life Cycle Assessment (SLCA)



Strategic Life Cycle Assessment (SLCA)



	 Sustainability competence of design 	TYPE OF MATERIALS
mpacts	teamIntegration of	PROCESSES AND OPERATIONS
.=	sustainability in decision-making	RESOURCE CONSUMPTION (ENERGY, WATER,)
nability	 Integration of sustainability within 	SUPPLIER ENGAGEMENT AND EVOLUTION PROGRAM
Sustain	design development processes	INDUSTRY PRACTICE (AUDIT, CERTIFICATION,)
SL	 Improvements to design and 	LOCAL COMMUNITY INVOLVEMENT
	development processes	PEOPLE, HEALTH AND SAFETY

Value chain

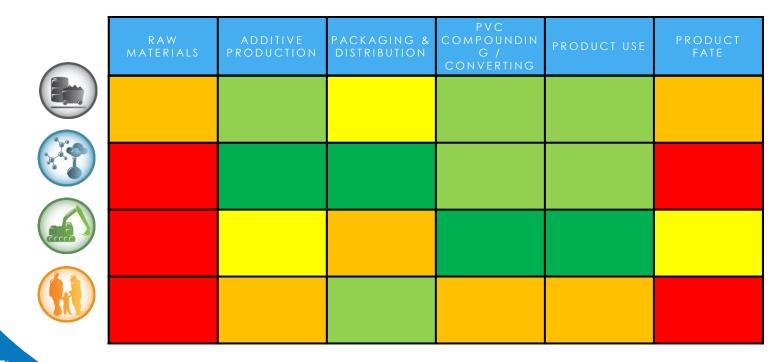


	RAW MATERIALS	ADDITIVE PRODUCTION	PACKAGING & DISTRIBUTION	PVC COMPOUNDIN G / CONVERTING	PRODUCT USE	PRODUCT FATE
(ceeco						

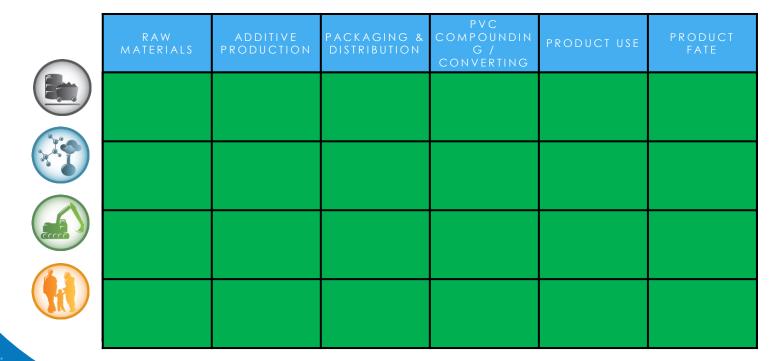
Asking smart questions for each life cycle stage and sustainability principle

RAW MATERIALS	ADDITIVE PRODUCTION	PACKAGING & DISTRIBUTION	PVC COMPOUNDIN G / CONVERTING	PRODUCT USE	PRODUCT FATE
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Ś	Ś	Ś	Ś	Ś	Ś
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Ś	Ś	Ś	Ś	Ś	Ś

Asking smart questions for each life cycle stage and sustainability principle



Asking smart questions for each life cycle stage and sustainability principle



Backcasting from a sustainable product (SLCA)

Developing a concrete vision and understanding of the sustainable product

RAW MATERIALS	PRODUCTIO N	PACKAGE & DISTRIBUTE	PRODUCT	PRODUCT

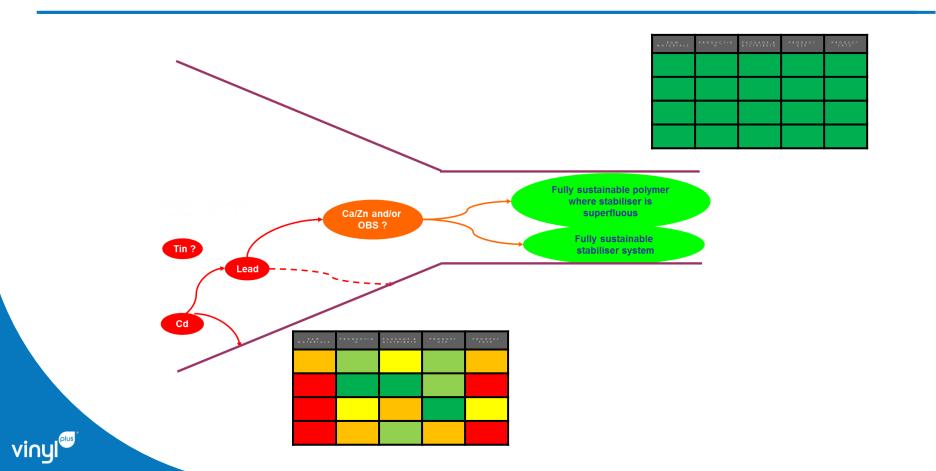


Finding smart and innovative pathways between.

RAW MATERIALS	PRODUCTIO	PACEAGE & DISTRIBUTE	PRODUCT USE	PRODUCT

Identifying the sustainability strength's and weaknesses





Example

SLCA on PVC heat stabilizers: outcomes

	bac		>	>	> >	go	od		
Existing	Sys	tem C	onditi	ons	Proposed	Sys	tem C	onditi	ons
Life Cycle Stages	SC1	SC2	SC3	SC4	Life Cycle Stages	SC1	SC2	SC3	SC4
1. Raw materials	*		**		1. Raw materials	*		**	
2. Stabilizer synthesis	***	***			2. Stabilizer synthesis	***	***		
3. Packaging & distribution					3. Packaging & distribution				
4. PVC processing					4. PVC processing				
5. Use of rigid PVC articles					5. Use of rigid PVC articles				
6. End of life					6. End of life				

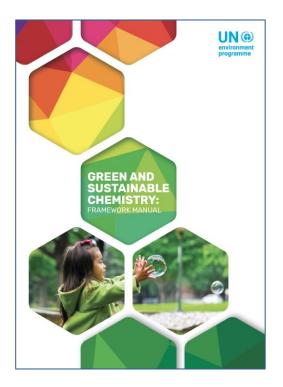
• SC1 : "... not increasing concentrations of substances extracted from Earth's crust"

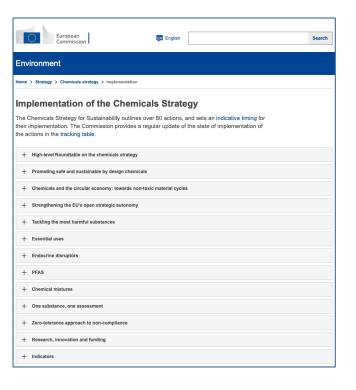
- SC2 : "... not increasing concentrations of substances produced by society"
- SC3 : "...not increasing degradation by physical means"
- SC4 : "... no conditions that underdetermine people's capacity to meet their needs"

Questions

- What is a "sustainable" society?
- What is a "sustainable" value chain?
- What is a "sustainable" company?
- What is a "sustainable" product?
- What is a "sustainable" material?
- What is a "sustainable" chemical?

Benefits







- Recognize and work toward credible design criteria for sustainable use of additives.
- Identify strength's, weaknesses and gaps in current knowledge across the full life cycle of additives and their applications.
- Understand the sustainability performance of additives in specific product applications
- Develop innovation roadmaps and prioritise innovations enabling the highest sustainability performance.
- Build a stronger connection between industry, company and product level sustainability objectives.

Additive

Sustainability



Key Benefits for additives producers:

- Understand the sustainability performance of additives in specific product applications
 Understand how to guide dialogue with customers on specifications that make products more sustainable
- Become a supplier of choice for converters by using an industry-consistent approach
- Prioritise innovations enabling the highest sustainability performance



Increase awareness of the additives and supply chains related to PVC

Understand how to guide dialogue with suppliers on specifications to make formulations and products more sustainable

Make informed decisions on additives to be used

Earn a higher audit score for criteria 6.1 of the - <u>VinylPlus® Product Label</u> and thus increase the visibility of a product



Key Benefits for industry and society

An industry-wide methodology using inputs from best practice procedures (REACH, LCA, PEF)

A tool enabling communication on the contribution of additives towards sustainable development

Evidence for regulators and legislators that a risk-based approach across whole product life cycles offers greater insight and impact than a simplistic hazard-based approach

Depth of analysis adapted to different budgets and timeframes

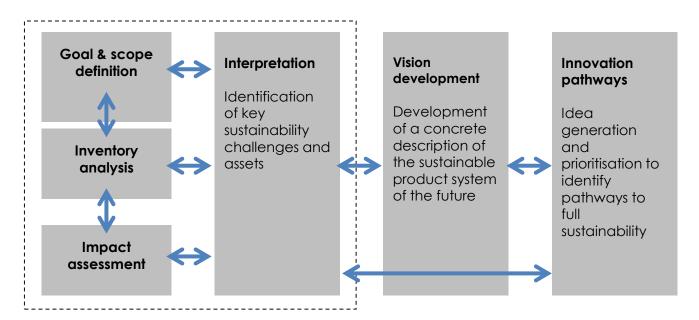


3 A closer look at ASF

What the process looks like

Sustainability awareness

A shared understanding of sustainability based on science and systems thinking

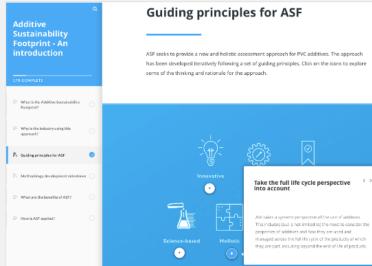


Aligned with the ISO 1404X

SLCA Process steps

- 1. Goal and scope definition
- 2. The Sustainable Product-Service System
- 3. Setting system boundaries
- 4. Inventory analysis
- 5. Sustainability assessment
- 6. Analysis & synthesis
- 7. Idea generation
- 8. Prioritisation
- 9. The innovation action plan

10. Measure and report progress



has been developed iteratively following a set of guiding principles. Click on the icons to explore



Explore the life cycle of additives

ASF defines six simplified life cycle for additives as presented by. Click on the (+) symbols to dive into more detail about each of the life cycle stages.



Additive Sustainability Footprint - An introduction

START COURSE

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Welcome! This is a general introduction to the A methodology intended for interested stakehold it for the first time. It will take you less than five

What is the Additive Sustainability Footprint?

Why is the industry using this approach?

P. Guiding principles for ASF

Additive Sustainability Footprint - An introduction Interview Interview

In brief

The Additive Sustainability Footprint methodology is a new and innovative approach (a process and a too) to assess and promote the sustainable use of PVC additives in different product applications. It is intended to provide a consistent, practically applicable, flexible, credible and scientifically sound approach to evaluating the sustainability profile of additives.

Developed with and for industry

VinylPlus, the European PVC industry's voluntary commitment to sustainable development, has invested in the development of ASF with the support of The Natural Step, an international nonprofit organisation dedicated to sust:

Guiding principles for ASF
 Methodology development
 milestones
 What are the benefits of ASF?
 How is ASF applied?

have also been involved in testing an primarily intended for use within the members of VinylPlus.



Complement existing assessment schemes

A range of tools are already available to assess chemicals, materials and product sustainability. ASF has emerged as a an approach to make use of what is already available and fill gaps. For example:

- · combining assessment of chemical properties with life cycle thinking
- · combining strategic sustainability considerations with life cycle assessment
- addressing both positive and negative effects of additives
- going beyond regulation, recognising increasing demands and the need to use sustainability as a design lens for product formulations.
- building knowledge and providing actionable insight through value chain engagement

A credible and proactive commitment

VinylPlus is committed to sustainable development and has defined milestones that move toward a vision for PVC in a sustainable society. The ASF is a key part of

Success criteria for sustainable use of additives

System Conditions for a sustainable society	Related topics	Success criteria for the sustainable use of additives
1. Substances from the Earth's crust must not systematically increase in concentration in nature.	 Metals & minerals Energy Renewable / recycled materials 	 Scarce metals, minerals and fossil carbon must not be released to nature at a rate that exceeds the rate of re-assimilation. This implies the phase-out, or the recapture in controlled loops, of scarce mined materials. The energy sources must be renewable. The sources of raw materials must be renewable, or the resources must be fully recycled.
2. Substances produced by society must not systematically increase in concentration in nature	 Circular flows (bio- degradability / technical loops) Efficient production Benign emissions 	 or incorporated into articles which can be recycled. Additive components that are able to migrate must be degradable unless managed in controlled-loop systems.
3. Nature must not be systematically degraded by physical means.	 Water use Resource use Land use Ecosystem disturbance 	 Sourcing of raw materials used for production of additives must come from well-managed ecosystems.
4. People must not be subject to structural obstacles to health, influence, competence, impartiality and meaning.	 Health & safety Basic rights Skills and knowledge Equity (resource efficiency / depletion) Well-being / meaning 	 The additives enable reliable technical performance to deliver functionality that helps to support diverse human needs. The sourcing and production of additives must occur under safe and responsible social conditions. PVC products & additives embedded in them must not lead to negative impacts on the wellbeing of humans or the environment. The additives must not restrict the capacity for efficient management of resources through mechanical & feedstock recycling either by: a) reduction in the quality and quantity of the recyclate b) preventing the mixing of PVC from multiple end-of-life and post-industrial products in recycling streams (compatibility) There must be trust-worthy information to track and trace PVC products and their additives.

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Additive Sustainability Footprint - Project Definition The project details below are to be completed by the project initiator when starting a new ASF assessment. A project is typically defined around a product and inclusives a number of search Strategic LIB-opt calc assessments of additives used in the product application.

Project Name	Enter details		
Give the project a name	Generic assessment fo	or flooring sector	
PVC product application			
Describe the product application in which PVC additives are to be used e.g. "PVC Window profile used in industrial buildings etc."	Industrial PVC flooring		
Assessment Objectives			
ASF can serve multiple objectives. Indicate the extent to which the following objectives apply:	Low p	riority <	> High priority
AWARENESS – raise awareness of criteria for sustainable use of additives			\checkmark
ASSESSMENT - understand strengths and challenges for different additives			
COMMUNICATION - inform communication of additive sustainability performance		>	
INNOVATION - learn where to improve to move toward sustainable use of additives			
Other objectives (please specify)	Controlled loop applica	tions	
Assessment Details		10110	
Type of assessment: Assurance level:	Select Generic product assess typical additives Self assessment without		Notes
	(internal purposes)		
Project Team			
ASF relies on industry knowledge about the life cycle of additives and PVC products. A cross functional team drawn from across the value chain is recommended where this is possible. Please list the project participants.			
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ASF relies on industry knowledge about the life cycle of additives and PVC products. A cross functional team drawn from across the value chain is recommended where this is possible. Please list the project participants.	(internal purposes)		
ASF miles con locatory increadings about the life typical of additives and PNC products for more functional land mease from anones and walk on this inscommended where this is possible. Please list the project participants. Project Commissioner / Lead Project Participants	(internal purposes)		
ASF relies on industry knowledge about the life cycle of additives and PVC products. A cross functional learn drawn from across the value chain is recomminded where this is possible. Please list the project participants. Project Commissioner / Lead	(internal purposes)		
ASF miles con locatory increadings about the life typical of additives and PNC products for more functional land mease from anones and walk on this inscommended where this is possible. Please list the project participants. Project Commissioner / Lead Project Participants	(internal purposes)		
ASF relies on industry incredepart about the tile opied of additives and PRO products. Aroors hanchood land ream form across traviale daha in servanded when this speaklik. Plesse list the project participants. Project Commissioner / Lead Project Commissioner / Lead Project Commissioner / Lead Project Participants Project Participants Project Participants	(internal purposes)	Notes	
ASF mile ac industry incredepart about the life cycle of additives and PRO peducity. Arrors factional indication allocations while chain is incommended when this speakler. Please list the project participants. Project Commissions / Lead Project Participants Topic expents (# applicable) Data sources Specify types of data sources	(Internal purposes) XXX YYY ZZZ Soliect	Notes	
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ASF_Goal and Scope

Define Project Define Key Additives

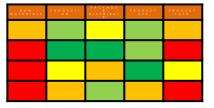
Denne Project	Define Key Ad	ditives								
Assessm	ent Scope	e - Defining K	ey Additives							
		y additives' in a PVC pro incentration, ingredients							creating an inventory of the	additives appearing in
Definitions:										
Additive	the final article s		or cost. Additives asse	essed through AS	SF typically include: s	tabiliser and co-stabiliser			o enable the processing to o assing aids, pigments and fill	
Key Additive	"Any chemical s	ubstance included into th PBT, or of an equivalent	e above additive classe	s, incorporated i	nto a PVC article or o	compound at a concentra	tion above 0.3 phr (pa CH)."	rts per hundred of PVC), u	nless included into the SVHC	list or classified as CMR
Additives within t	he PVC formulatio	on and PVC article	Additive Composition			v Substance Hazards and	d already known Sustai	nability Risks		Meets criteria for Key Additive to include in ASF assessment
Additive Name	Additive Function	Contribution to PVC formulation (parts per hundred)	Substance Name	% in additive	EEC / CAS number	Exhibits SVHC Properties?	Any known Value Chain sustainability hotspots / issues?	Comments	Concentration of classified substances in finished PVC article (%)	Additive should be included in ASF assessment
Additive1	Stabiliser / Co- stabiliser	0.32	Substance X			No	No			Yes
						No	Select			
Additive2	Lubricant	0.05	Substance Y			No	Select		0.15	-
						Select	Select			
Additive3	Pigment	0.05				Select	Yes	Conflict minerals used	0.13	Yes
						Select	Select			

Understanding the structure of the assessment



Yes / No impact

Yes / No Progress



Different depths of information

ASF Additive 1 Assessment

Additive Life Cycle Inventory Raw Materials Acquisition Additive Synthesis Packaging & Distribution

Questionnaire summary	2	Question	Answer	Verified	Explain your a
System Condition 1	2.1.1	Are processing aids* free from metals, minerals and hydrocarbons that risk accumulating in nature (e.g. due to scarcity in nature such as with Cu, Ag, Sn, Cd, Hg, etc. or due dispersed use / disposal)?	No		
	2.1.2	Are production bi-products, waste and emissions free from substances from the earth's crust that risk systematically increasing in concentrations in nature? (e.g. process emissions, heavy metals etc.)	Not applicable	2	
•	2.1.3	Are the production process and facilities powered using renewable energy?	Yes	>	
	2.1.4	Are there targets and actions being taken to phase out use of metals, minerals and hydrocarbons that risk accumulating in nature during creation (i.e. through process improvements, switching process materials etc.)?	Not answered		
	2.1.5	Are there targets and actions being taken to improve resource efficiency and achieve zero waste/emissions of metals / minerais // hydrocathons in production?(e, z) through choosing waste free production technology, smart design, efficiency measures, waste handling /treatment/recycling/teuse, production management, etc.)	Not answered		
	2.1.6	Are there targets and actions being taken to power the creation process using renewable energy?	Not answered		

Overview

nthesis	Packaging &	Distribution Compou	ers in the asses	sment are shown below. To upda	ate answers, complete the su	ustainability assessment for each li	fe cycle stage.	- autor	or reduction and capp	iy onam	-100	are replication at	
	Answer	Verified Explain your a	laterials	2 Additive Synthesis	3 Packaging & distribution	4 Additive + PVC processing 4.1.1 Not applicable	5	Raw Materials	2. Additive Synthesis	3. Packaging & distribution	4. Additive + PVC processing	5. Product Use	6. Pos
		ronnou Explain your a	now	2.1.2 Not applicable	3.1.2 Not answered	4.1.2 Not applicable	5.1.1 Not 5.1.2 Not		2		3	3	°
			now	0 2.1.3 Yes	0 3.1.3 Not answered	3 4.1.3 Not applicable	0 5.1.3 Not						
due				0 2.1.3 165	0 5.1.5 Not answered	3 4.1.3 Not applicable	0 0.1.0 1401						
que	No	\checkmark		2.1.4 Not answered	3.1.4 Not applicable	4.1.4 Not applicable	5.1.4 Not		0	3	3	3	2
				2.1.5 Not answered	3.1.5 Yes	4.1.5 Yes	5.1.5 Yes						
				2.1.6 Not answered	3.1.6 Yes	4.1.6 Yes	5.1.6 Yes						
1				0 2.1.7 Not answered	4 3.1.7 No	0 4.1.7 No	0 5.1.7 No						
										1	1	1	1
sions,	Not applicable			2.2.1 Not answered	3.2.1 Yes	4.2.1 Yes	5.2.1 Yes 5.2.2 Dor						
	not approable			2.2.2 Not answered	3.2.2 Don't know	4.2.2 Don't know	5.2.2 Dor						
				0 2.2.3 Not answered	3 3.2.3 No	0 4.2.3 No	0 5.2.3 No			1	0	2	0
				2.2.4 Not answered	3.2.4 Yes 3.2.5 Yes	4.2.4 Don't know 4.2.5 Don't know	5.2.4 Yes 5.2.5 Yes 5.2.6 Dor 0 5.2.7 Dor						
		_		2.2.5 Not answered 2.2.6 Not answered		4.2.5 Don't know 4.2.6 Don't know	5.2.5 Yes						
	Yes			0 2.2.7 Not answered	3.2.6 Yes 4 3.2.7 Don't know	0 4.2.7 Don't know	0.5.2.0 Dor		0	0	0	0	0
				0 2.2.7 Not answered	4 0.2.7 DUITENIUW	0 4.2.7 DON'T KNOW	0 5.2.7 D0						
				2.3.1 Not answered	3.3.1 Don't know	4.3.1 Don't know	5.3.1 Dor						
				2.3.2 Not answered	3.3.2 Don't know	4.3.2 Don't know	5.3.2 Dor			0			
e of				0 2.3.3 Not answered	3 3.3.3 No	0 4.3.3 No	5.3.1 Dor 5.3.2 Dor 5.3.3 No		ř.		ř.	·	
in													
	Not answered			2.3.4 Not answered	3.3.4 Don't know	4.3.4 Don't know	5.3.4 Dor 5.3.5 Dor						
			now	2.3.5 Not answered	3.3.5 Don't know	4.3.5 Don't know	5.3.5 Dor		0	0	0	0	0
				2.3.6 Not answered	3.3.6 Don't know	4.3.6 Don't know	5.3.6 Dor 0 5.3.7 Dor						
urce				0 2.3.7 Not answered	4 3.3.7 Don't know	0 4.3.7 Don't know	0 5.3.7 Dor						
inerals									-				
e free	Not answered			2.4.1 Not answered	3.4.1 No 3.4.2 No	4.4.1 No 4.4.2 Don't know	5.4.1 No 5.4.2 Dor 5.4.3 Dor		1. A A A A A A A A A A A A A A A A A A A		,	3	3
waste	not anonorou			2.4.2 Not answered 2.4.3 Not answered	3.4.2 No 3.4.3 No	4.4.2 Don't know 4.4.3 Don't know	5.4.2 Dor						
ent,				2.4.5 Not answered	0.4.0 100	4.4.5 Don't know	0.4.3 Dor						
ation				2.4.4 Not answered	3.4.4 No	4.4.4 Not applicable	544 Not						
auon				2.4.5 Not answered	3.4.5 No	4.4.5 Yes	5.4.4 Not 5.4.5 Yes	100%	14%	89%	96%	96%	82
				2.4.6 Not answered	3.4.6 No	4.4.6 Yes	5.4.6 Yes	4%	14%	18%	17%	14%	14
	Not answered			0 2.4.7 Yes	3 3.4.7 No	0 4.4.7 Not answered	1 5.4.7 Not	11%	0%	29%	57%	39%	46

Additive Production and Supply Chain

Additive Application and Use

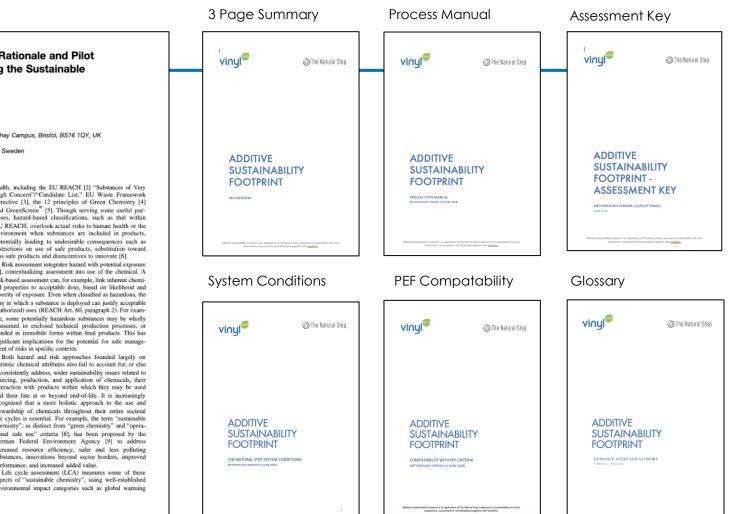


Example of how colour-matrix is used for interpreting hotspots

		Additive F	Production and Sup	ply Chain	Additive Application and Use				
Sustainability Principle	Focus Area	1. Raw Materials	2. Additive Synthesis	3. Packaging & distribution	4. Additive + PVC processing	5. Product Use	6. Post Use		
(inclusion) System	Impacts	1	2	2	2	3	0		
Condition 1	Management Practices	1	3	3	3	4	4		
System	Impacts	2	2	2	2	2	0		
Condition 2	Management Practices	3	4	4	3	4	4		
System	Impacts	1	2	2	2	2	0		
Condition 3	Management Practices	4	4	4	4	4	0		
System	Impacts	3	3	3	2	3	3		
Condition 4	Management Practices	4	4	4	4	4	4		
	Completion statu	100%	100%	100%	100%	100%	100%		
	Verified Answers	7%	11%	18%	4%	11%	14%		
	Knowledge gaps	14%	11%	7%	14%	7%	25%		

Colour key	
3-4 yes / NA	Good performance
2 yes / NA	Some issues / progress
1 yes / NA	A range of issues to address
0 yes / NA	Significant issues to address

VÍN



Additive Sustainability Footprint: Rationale and Pilot Evaluation of a Tool for Assessing the Sustainable Use of PVC Additives

Mark Everard . 1 Richard Blume² ¹University of the West of England, Coldharbour Lane, Frenchay Campus, Bristol, BS16 1QY, UK

²The Natural Step, Swedenborgsgatan 2, 11848, Stockholm, Sweden

PVC compounds contain additives necessary for processing and stability, and to modify the plastic's properties. The Europe-wide VinvIPlus[®] voluntary commitment includes a challenge to make progress toward sustainable use of additives. Additive Sustainability Footprint (ASF) was developed to assess sustainable use of additives across the whole societal life cycles of finished PVC articles, taking a risk-based approach rather than simplistic hazard assessment. ASF addresses impacts across six life cycle assessment (LCA) stages established by ISO Standard 14040, using the four System Conditions (sustainability principles) developed by The Natural Step (TNS) covering social as well as environmental factors. For each LCA stage/System Condition combination, seven generically similar guestions cover negative impacts (many covered by existing tools and regulations) but also the additive's positive contributions to the sustainability of finished articles. Positive contributions include ethical sourcing, longevity of service life, low maintenance inputs, and recyclability. Answers to questions determine a score, which can be combined across the life cycle and with other additives. Testing on a generic EU PVC window profile supported ASF development and demonstrated applicability and potential benefits including use for sensitivity analysis of alternative additives from different geopolitical regions or from recycled as opposed to virgin sources. J. VINYL ADDIT. TECHNOL., 26:196-208, 2020. © 2019 The Authors. Journal of Vinyl and Additive Technology published by Wiley Periodicals, Inc. on behalf of Society of Plastics Engineers.

INTRODUCTION

Schemes for assessment of chemical sustainability differ significantly in definitions of objective, interpretation, and scope, many focusing on intrinsic chemical properties and particularly potential hazard [1]. Regulatory mechanisms and management tools commonly focus purely on hazard reduction or elimination to secure environmental and human

health, including the EU REACH [2] "Substances of Very High Concern"/"Candidate List," EU Waste Framework Directive [3], the 12 principles of Green Chemistry [4] and GreenScreen[®] [5]. Though serving some useful purposes, hazard-based classifications, such as that within EU REACH, overlook actual risks to human health or the environment when substances are included in products, potentially leading to undesirable consequences such as restrictions on use of safe products, substitution toward less safe products and disincentives to innovate [6].

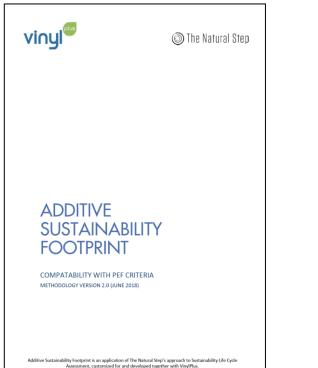
Risk assessment integrates hazard with potential exposure [7], contextualizing assessment into use of the chemical. A risk-based assessment can, for example, link inherent chemical properties to acceptable dose, based on likelihood and severity of exposure. Even when classified as hazardous, the way in which a substance is deployed can justify acceptable (authorized) uses (REACH Art. 60, paragraph 2). For example, some potentially hazardous substances may be wholly consumed in enclosed technical production processes, or bonded in immobile forms within final products. This has significant implications for the potential for safe management of risks in specific contexts.

intrinsic chemical attributes also fail to account for, or else inconsistently address, wider sustainability issues related to sourcing, production, and application of chemicals, their interaction with products within which they may be used and their fate at or beyond end-of-life. It is increasingly recognized that a more holistic approach to the use and stewardship of chemicals throughout their entire societal life cycles is essential. For example, the term "sustainable chemistry", as distinct from "green chemistry" and "operational safe use" criteria [8], has been proposed by the German Federal Environment Agency [9] to address increased resource efficiency, safer and less polluting substances, innovations beyond sector borders, improved performance, and increased added value.

Life cycle assessment (LCA) measures some of these aspects of "sustainable chemistry", using well-established environmental impact categories such as global warming

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A note on Environmental Product Declarations and the Product Environmental Footprint The following table is used to cross-reference with PEF. The key points to note are: · ASF is compatible with PEF impact categories. The difference is that ASF uses qualitative questions. · ASF also takes a wider view of environmental aspects by assessing if there is a potential risk of substance accumulation regardless of whether issues are known and end-point impact categories defined. · ASF directly covers social sustainability aspects, not just as indirect effects of environmental damage Additive Sustainability Footprint Screened against: System Conditions for a Sustainable Society Systematically Systematically Systematic Structural PEF Impact category Unit increasing increasing physical obstacles to concentration concentrations degradation of people's health. of substances of substances nature. influence, from the produced by competence, earth's crust. society impartiality and meaning. kg CO2 eq Use of fossil energy kg CO2 eq Biogenia from energy / Climate change industry kg CO2 eq Sourcing, infrastructure, Landuse transformation filling kg CFC-11 eq Emission o Ozone depletion ozone-depleting substances Particulate matter kg PM2.5 eq Indirect health ombustion effects Photochemical ozone formation kg NMVOC eq NOx, SOx Indirect health Emissions effects Addification NOx. SOx molc H+ eq Emissions molc N eq Nitrogen Terrestrial discharge to kg P eq Emissions from Eutrophication Freshwater mined minerals (e.g. P) ke N en Nitrogen discharge to Marine CTUh Use of scarce Exposure to ion-cance effects hazardous Human toxicity cancer effects CTUh ubstances kBo 11235 eo Emissions from Waste from Exposure to Ionizing radiation HH mining uranium nuclear energy / hazardous substances Freshwater ecotoxicity CTUe Use of scarce Use of SVHC's Land use kg C deficit ircing and infrastructure m3 water eq Sourcing from Obstacles to health sustainably Water managed Resource depletion kg Sb eq Resource depletion Indirect effects e.g. life-/ Intergenerational Mineral & fossil sustaining

phosphorous

vinyl

For companies

ASF Generic Assessment

ASF applied in companies



Leading Change for Sustainable Use of Additives

A company Innovation programme for additive manufacturers

Delivered by:

🔘 The Natural Step

In collaboration with:



Purpose

The overall purpose of this programme is to build the capacity of individuals and companies (additive manufacturers) to understand, assess and move toward more sustainable additive systems and company practices. The goal is that participating individuals and companies will be promoted as leading the way on additive sustainability through different forms of recognition.

The basis for this programme is The Natural Step's science-based definition of sustainability, strategic framework and protocol for assessing product life cycles (Strategic Life Cycle Assessment).

With the support of VinylPlus this approach has been customized into a tool for assessing PVC additives (Additive Sustainability Footprint), which will be used within the programme.



Programme objectives

Future-proofing business - To embed science-based principles of sustainability into company innovation processes and to connect it to overall company ambitions, strategies, policies and practices.

SLCA / ASF Process Certification - To train and certify 2-3 company representatives in the proper use of The Natural Step's Strategic Life Cycle Assessment protocol, as applied to additives, i.e. Additive Sustainability Footprint (ASF).

Third-Party Validation - To produce a third-party report by The Natural Step as evidence that company representatives have applied ASF to assess and move toward more sustainable use of additives at the company level*.

Recognize pioneers - To profile participating individuals and companies as ambassadors for The Natural Step's Framework for Strategic Sustainable Development by supporting its application within companies.

> *Third Party validation that ASF has been properly applied is a pre-requisite to receive the VinylPlus Sustainability Certificate (VSC) for Additive Manufacturers (VSC). Other conditions also need to be met. The Natural Step is not responsible for the VSC but participation in the Leading Change for Sustainable Use of Additives programme will make it easier for companies to meet the audit requirements for the VSC.

Overview

Participants – Minimum of 7 Additive Manufacturers (companies) with 2-3 participants per company (i.e. cohort of approximately 20 sustainability leaders such as business executives, functional leaders / managers, and product and technology specialists).

Content – Strategic sustainability methods and tools developed by The Natural Step (TNS Framework and Strategic Life Cycle Assessment, customized for PVC additives in collaboration with VinylPlus i.e. Additive Sustainability Footprint).

Additive Self-Assessment – facilitated process to learn how to apply ASF on company's own additives.

Key outcomes – Sustainability insight and foresight, ASF tool for internal use, licensed users, Third-Party Report and recommendations, pre-requisite for VinylPlus Sustainability Certificate for Additive Manufacturers.

Professional coaching – Dedicated sustainability advisor from The Natural Step (per company).

Delivery format – 100% remote and online. Content includes group webinars, company work sessions and coaching. Approximately 5-9FTE days for a Project Leader and 2-7 FTE days for Team members spread over approximately 4-5 months.



Participation

Leading Change for Sustainable Use of Additives is targeted toward both company leaders and those responsible for the development and delivery of additive systems. To participate, a company should nominate 2-3 participants who are able to either make decisions about, or gather insights on, additive systems and their sustainability issues across the life cycle.

One representative will fulfill the role of a Project Leader while the team will be involved in assessing additives or exploring innovation possibilities. In addition, it may be necessary to engage other internal / external stakeholders (e.g. customers / upstream suppliers) to gather information about the life cycle of additives and / or identify opportunities for co-innovation.





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CAPABILITY AREA	PARTICIPANT LEARNING OBJECTIVES		
UNDERSTAND	 Gain a clear understanding of sustainability based on sound science and systems thinking. Explain the background, purpose, scope, needs and benefits of using a new industry-wide assessment methodology for sustainable use of additives. 		
ASSESS	 Facilitate / participate in a process to apply ASF to selected additives and product applications. Relate impacts and lessons from use of ASF to company activities, business objectives and value chain collaboration. 		
	 Develop an innovation roadmap that addresses life cycle hotspots and areas for improvement in a business-driven manner. Reflect on and develop plans for use of sustainability principles / ASF methodology within company practices. 		

Benefits of participating

Competence development for key personnel who will learn how to evaluate performance in additive sustainability and guide innovation toward sustainable use of additives.

Receive external advice and fresh insight on company sustainability efforts from The Natural Step's strategic advisors.

Be recognized as a pioneer in applying a new methodology developed for industry-wide application.

Demonstrate sustainability leadership and company commitment to industry sustainability targets.

Help customers secure the VinylPlus product label by using the Third-Party Report to fulfil one of the requirements to become a supplier of choice (i.e. eligibility for a supplier Sustainability Certificate for Additive Manufacturers)*.

Stay ahead of REACH regulations and prepare for EU's new chemical strategy for sustainability.

Receive cost-effective support in a process involving both peers and individual company activities.

The product label criteria will become stricter in future revisions and additive suppliers will need to demonstrate use of ASF.

What's included

- Access to resources to build a shared understanding of sustainability within the company.
- Webinars and online sessions with peers and invited experts.
- Dedicated and confidential support from an external sustainability advisor to coach in the use of ASF.
- Licensed access for in-house company use of SLCA / ASF self-assessment process and tool.
- Access to pre-completed ASF Generic Assessment results (where available).
- Third Party Snapshot Report including recommendations from The Natural Step regarding the use of ASF.
- Official recognition by The Natural Step that the company has applied / is applying a holistic and strategic framework to navigate toward sustainability.



EXTERNAL ALIGNMENT

INTERNAL ALIGNMENT

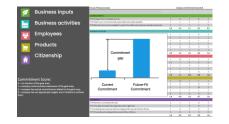
PERFORMANCE SCORECARDS

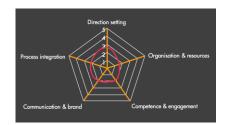




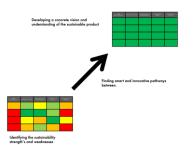
Toolkit for companies

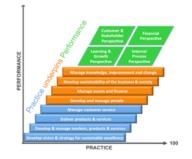




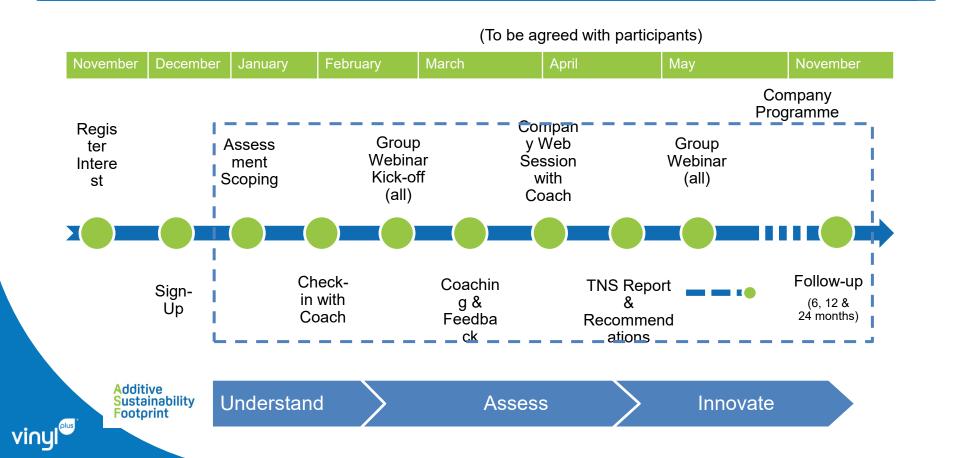








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VinylPlus[®] Additive Sustainability Footprint

Readiness Assessment

Additive Sustainability Footprint

Pre-requisites

To successfully apply ASF, company decision-makers will need to:

- **Confirm participant availability and time commitment**
- **Ensure participants have working knowledge of additives**
- Be willing to follow the timeline, once agreed.
- Be willing to self-assess.
- **Commit to learning and improvement.**
- Share information with TNS advisor (subject to non-disclosure agreement).
- □ Sign / abide by terms of use for SLCA / ASF.
- **D** Pay Fee / be sponsored to participate in the Company Programme.



Additive Sustainability Footprint	Application For	m	October 202
	VinyIPlus [®] Additive Sustainabil	ity Footprint	Page 2/
	Company details		
Company Register Name	red		
Company Trading Access	3		
Postcode			
Contact Name			
Position			
Telephone			
E-mail			
Is your company a partner of the VinyIPlus® programme? (Y/N)			
How long has you company been a VinylPlus® Partne			
Is your company a additive producer a user of additives	Additive Producer User of additives (e.g., PVC converter)		
	Application		
Scope of application		Specific Site(s)	
Additive description	Description	Typical uses and PVC pro applications	oduct
VinyiPlus ASF Co VinyiPlus ASF Co	on Readiness Check and would like to regis ompany Training Programme Fall 2020 ompany Training Programme Spring 202 ed about upcoming training and assess	1	

Next steps

- **Further information about Additive** Sustainability Footprint is available at www.vinylplus.eu/asf
- General inquiries can be directed to VinylPlus
- An application form is also available from VinylPlus who will coordinate registrations with The Natural Step prior to programme commencement.

REPORTING ON 2020 ACTIVITIES

and summarising the key achievements of the past 10 years

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viny

Wrap up

Vincent Stone

Technical & Environmental Affairs Senior Manager, VinylPlus[®] Additive Sustainability Footprint Methodology



Thanks a lot for your active participation to this webinar!

- **Questions unanswered today will be addressed ASAP by speakers**
- Other questions? Please send them to <u>info@vinylplus.eu</u>!
- A post webinar survey will be organised by year end
- Interested to join an ASF Company Training Programme?
 - 1. Fill in the <u>Application Form (vinylplus.eu/asf</u>)
 - 2. Send Form back to info@vinylplus.eu





REPORTING ON 2020 ACTIVITIES

and summarising the key achievements of the past 10 years

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